Electronic Acknowledgement Receipt					
EFS ID:	5987269				
Application Number:	10562615				
International Application Number:					
Confirmation Number:	6138				
Title of Invention:	Tricyclic derivatives or pharmaceutically acceptable salts thereof, their preparations and pharmaceutical compositions containing them				
First Named Inventor/Applicant Name:	Myung-Hwa Kim				
Customer Number:	20311				
Filer:	Michael Nicholas Mercanti/Akiko Sakagami				
Filer Authorized By:	Michael Nicholas Mercanti				
Attorney Docket Number:	428.1145				
Receipt Date:	31-AUG-2009				
Filing Date:	27-JUL-2006				
Time Stamp:	18:04:46				
Application Type:	U.S. National Stage under 35 USC 371				
Payment information:					

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$810
RAM confirmation Number	4733
Deposit Account	
Authorized User	

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
--------------------	----------------------	-----------	-------------------------------------	---------------------	---------------------

	Request for Continued Examination	4281145_RCE.pdf	697408	no	3			
(RCE)	4201145_NCL.pd1	84da3b860195f55baf3c4fff0f9eb2fbfca01e b1	110					
Warnings:								
Information:								
2 Amendment After Final	428-1145_Response.pdf	3660076	no	15				
2 Amendment Arter i mai	420 1145_https://doi.pu	8c22cb654ea7b938bff989be34f3ded5536a 99d9						
Warnings:	Warnings:							
Information:								
3 Fee Worksheet (PTO-875)	fee-info.pdf	30306	no	2				
		b3b344f67037dcd9a411c0d319798c6cb83 78dbe						
Warnings:								
Information:								
	Total Files Size (in bytes): 4387790							

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.